

10/020,515 EXHIBIT C

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Date Mailed: October 3, 2002 Atty/Sec: MW/la Filing Date: December 7, 2001

Serial No.: 10/020,515

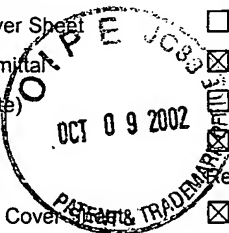
Docket No.: 18602-06587

Applicant: Guy G. Riddle

Title: System for Terminating Multicast Channel & Data Broadcast When at Least Two Second Endpoints Do Not Transmit Positive Acknowledgement Message to First Endpoint

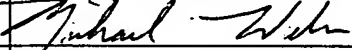
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| <input type="checkbox"/> ___ pages of Specification                               | <input type="checkbox"/> PTO/SB/35  |
| <input type="checkbox"/> ___ sheets of formal drawings                            | <input type="checkbox"/> Amendment  |
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| <input type="checkbox"/> Assignment & Recordation Cover Sheet                     | <input checked="" type="checkbox"/> Copy of Reissue Patent Application Transmittal    |
| <input type="checkbox"/> New Design Application Transmittal                       | <input type="checkbox"/> Maintenance Fee Payment                                      |
| <input checked="" type="checkbox"/> Copy of filing receipt marked with correction | <input type="checkbox"/> copy of Formalities Letter                                   |



0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/020,515
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Filing Date	December 7, 2001
		First Named Inventor	Guy G. Riddle
		Group Art Unit Number	2151
		Examiner Name	Unknown
Total Number of Pages in This Submission	5	Attorney Docket Number	18602-06587

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Two checks enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Certificate Under 3.73(b) <input type="checkbox"/> Reissue Declaration & Power of Attorney <input type="checkbox"/> Consent of Assignee <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request to Withdraw as Attorneys (in triplicate) <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Preliminary Amendment: [ 4 ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figures [    ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Notice to File Missing Parts of Reissue Application <input checked="" type="checkbox"/> Copy of stamped postcard and Express Mail receipt <input checked="" type="checkbox"/> Copy of Reissue Patent Application Transmittal <input type="checkbox"/> <input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT	
Signature:	
Attorney/Reg. No.:	Michael R. Weber, Registration No. 50,951
Dated:	Oct. 3, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.	
Signature:	
Typed or Printed Name:	Michael R. Weber
Dated:	Oct. 3, 2002
Express Mail Mailing Number (optional):	

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Guy G. Riddle  
APPLICATION NO.: 10/020,515  
FILING DATE: December 7, 2001  
TITLE: System for Terminating Multicast Channel & Data Broadcast  
When at Least Two Second Endpoints Do Not Transmit Positive  
Acknowledgement Message to First Endpoint  
EXAMINER: Unknown  
GROUP ART UNIT: 2151  
ATTY. DKT. NO.: 18062-06587

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Dated: Oct. 3, 2002

By: Michael Weber

Michael Weber, Reg. No.: 50,951

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CUSTOMER SERVICE CENTER  
WASHINGTON, DC. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The filing date is erroneously stated as December 18, 2001. The correct filing date is December 7, 2001, as evidenced by the a) Certificate of Mailing, which contains a proper certification under 37 CFR § 1.10; and the b) receipt issued by the United States Postal Service indicating that the Applicant's representative, did in fact deposit the package containing

the application with the United States Postal Service on December 7, 2001. True copies of each of these documents marked, respectively, Exhibit A and Exhibit B are enclosed.

Also enclosed is a copy of the Filing Receipt with the change written thereon.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,  
GUY G. RIDDLE

Dated: Oct. 3, 2002

By: Michael Weber

Michael Weber, Reg. No.: 50,951  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2494  
Fax.: (415) 281-1350

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18602/06587/SF/5083450.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/020,515	<del>12/18/2001</del> 12/07/2001	2151	1290	18602-06587	27	20	7

00758  
FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO, CA 94306

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FENWICK &amp; WEST LLP

CONFIRMATION NO. 8767

UPDATED FILING RECEIPT



\*OC000000008674195\*

Date Mailed: 08/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Guy G. Riddle, Los Gatos, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A REI OF 08/987,332 12/09/1997 PAT 5,999,977  
WHICH IS A CON OF 08/468,715 06/05/1995 ABN  
WHICH IS A CON OF 08/396,198 02/24/1995 PAT 5,854,898

**Foreign Applications**

If Required, Foreign Filing License Granted 03/07/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title**

System for terminating multicast channel and data broadcast when at least two second endpoints do not transmit positive acknowledgement message to first endpoint

EXHIBIT A

Please type a plus sign (+) inside this box ☐ ☐

PTO/SB/50 (4/98)

Approved for use through 09/30/2000. OMB 0651-0033  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REISSUE PATENT APPLICATION TRANSMITTAL

<b>Address to:</b>  <b>Box Reissue Commissioner For Patents Washington, DC 20231</b>	<b>Attorney Docket No.</b>	18602-06587
	<b>First Named Inventor</b>	Guy G. Riddle
	<b>Original Patent Number</b>	5,999,977
	<b>Original Patent Issue Date (Month/Day/Year)</b>	December 7, 1999
	<b>Express Mail Label No.</b>	EL599907741US

### APPLICATION FOR REISSUE OF: (check applicable box)

☒ **Utility Patent**

☐ **Design Patent**

☐ **Plant Patent**

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input type="checkbox"/> *Fee Transmittal Form ((PTO/SB/56) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).
2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate)	8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
3. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	9. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)
4. <input checked="" type="checkbox"/> Reissue Oath/Declaration (unsigned) (37 C.F.R. § 1.175)(PTO/SB/51 or 52)	10. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)
5. Original U.S. Patent <input type="checkbox"/> Original U.S. Patent for Surrender <input type="checkbox"/> Ribbonded Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)	11. <input checked="" type="checkbox"/> Preliminary Amendment and Statement of status/ support for all changes to the claims. See 37 CFR 1.173(c).
6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es))	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
<input type="checkbox"/> Written Consent of all Assignees (PTO/SB/53)	13. <input type="checkbox"/> Other: _____ _____ _____
<input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney	

\*NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO  
PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT  
IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A  
PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

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<b>Signature</b>		<b>Date</b>	December 7, 2001

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Date Mailed: Dec. 7, 2001 | Atty/Sec: SI | Filing Date: December 7, 2001  
 Serial No.: Reissue of USPN 5,999,977 | Docket No.: 18602-06587  
 Applicant: Guy G. Riddle  
 Title: System for Terminating Multicast Channel & Data Broadcast When at Least Two Second...

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| <input checked="" type="checkbox"/> 27 sheets of formal drawings       | <input checked="" type="checkbox"/> Preliminary Amendment Under 1.173(c) |
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